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Customer NumberDUE DATE(S) \_\_\_\_\_  
ATTORNEY GDADOCKETED mePatent  
Case No.: 58807US002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**COPY**

First Named Inventor: ROSENFLANZ, ANATOLY Z.

Application No.: 10/666212 Group Art Unit: 1755

Filed: September 18, 2003 Examiner: Elizabeth Bolden

Title: CERAMICS COMPRISING Al<sub>2</sub>O<sub>3</sub>, REO, ZrO<sub>2</sub> AND/OR HfO<sub>2</sub>, AND Nb<sub>2</sub>O<sub>5</sub>  
AND/OR Ta<sub>2</sub>O<sub>5</sub> AND METHODS OF MAKING THE SAME**INFORMATION DISCLOSURE STATEMENT**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

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JUN 10 2004 *Lisa Hengen*  
Date Signed by: Lisa Hengen

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully required that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

This submission includes information that is proprietary, hence this information is submitted for consideration under M.P.E.P. 724 as proprietary material not to be open to the public.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

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Respectfully submitted,

Date

*June 3, 2004*

By:

Gregory D. Allen, Reg. No.: 35,048  
Telephone No.: (651) 736-0641Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833**COPY**

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|  |  |                      |                        |
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| Substitute for form 1449A/PTO (modified)                 |  | Application Number   | 10/666212              |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |  | Filing Date          | September 18, 2003     |
| (Use as many sheets as necessary)                        |  | First Named Inventor | Rosenflanz, Anatoly Z. |
| Page 1 of 1  |  | Art Unit             | 1755                   |
|  |  | Examiner Name        | Elizabeth Bolden       |
|  |  | Attorney Case Number | 58807US002             |

| U.S. Patent Documents |             |  |  |  |   |
|-----------------------|-------------|--|--|--|---|
| Exam.<br>Int'l.*      | Cite<br>No. | Document Number<br><small>Doc. Number-(Kind Code if Known)</small> | Publication Date or<br>Issue Date<br><small>MM-DD-YYYY</small> | Name of Patentee<br>or Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
| A1                    | US-         |  |  |  |   |

| Foreign Patent Documents |             |  |   |  |   |
|--------------------------|-------------|--|---|--|---|
| Exam.<br>Int'l.*         | Cite<br>No. | Foreign Patent Document<br><small>Ctry. Code</small> | Publication Date<br><small>MM-DD-YYYY</small> | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |
| B1                       |             |  |   |  |   |

| OTHER DOCUMENTS  |             |  |  |  |                               |
|------------------|-------------|--|--|--|-------------------------------|
| Exam.<br>Int'l.* | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |  |  | Translation<br>(Check if yes) |
|                  | C1          | U.S. Application entitled "Alumina-Yttria Particles and Methods of Making The Same", filed December 18, 2003, having U.S. Serial No. 10/740262 (Attorney Docket No. 58716US002)  |  |  |                               |
|                  | C2          | U.S. Application entitled "Method of Making Abrasive Particles", filed December 18, 2003, having U.S. Serial No. 10/739420 (Attorney Docket No. 58794US002)  |  |  |                               |
|                  | C3          | U.S. Application entitled "Method of Making Abrasive Particles," filed December 18, 2003, having U.S. Serial No. 10/739624 (Attorney Docket No. 58796US002)  |  |  |                               |
|                  | C4          | U.S. Application entitled "Transparent Fused Crystalline Ceramics, And Method of Making The Same", filed December 18, 2003, having U.S. Serial No. 10/739439 (Attorney Docket No. 58797US002)  |  |  |                               |
|                  | C5          | U.S. Application entitled "Method of Making Abrasive Particles", filed December 18, 2003, having U.S. Serial No. 10/739440 (Attorney Docket No. 59437US002)  |  |  |                               |
|                  | C6          | U.S. Application entitled "Method of Making Abrasive Particles", filed December 18, 2003, having U.S. Serial No. 10/740096 (Attorney Docket No. 59438US002)  |  |  |                               |
|                  | C7          | U.S. Application entitled "Method of Making Abrasive Particles", filed December 18, 2003, having U.S. Serial No. 10/73944 (Attorney Docket No. 59439US002)   |  |  |                               |
|                  | C8          | U.S. Application entitled "Powder Feeding Method and Apparatus", filed December 18, 2003, having U. S. Serial No. 10/739233 (Attorney Docket No. 59440US002)   |  |  |                               |

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Patent  
Case No.: 58807US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: ROSENFLANZ, ANATOLY Z.

Application No.: 10/666212 Group Art Unit: 1755

Filed: September 18, 2003 Examiner: Karl E. Group

Title: CERAMICS COMPRISING AL2O3, REO, ZRO2 AND/OR HFO2, AND NB2O5 AND/OR TA2O5 AND METHODS OF MAKING THE SAME

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September 30, 2005

Jessica F. Nolan

Signed by: Jessica Nolan

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

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Application No.: 10/666212

Case No.: 58807US002

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Respectfully submitted,

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Date*September 29, 2005*

By:

  
Gregory D. Allen, Reg. No.: 35,048  
Telephone No.: 651-736-0641

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

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Substitute for form 1449A/PTO (moc)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

|                      |                        |
|----------------------|------------------------|
| Application Number   | 10/666212              |
| Filing Date          | September 18, 2003     |
| First Named Inventor | Rosenflanz, Anatoly Z. |
| Art Unit             | 1755                   |
| Examiner Name        | Karl E. Group          |
| Attorney Case Number | 58807US002             |

## U.S. Patent Documents

| Exam.<br>Init.* | Cite<br>No. | Document Number                  | Publication Date or<br>Issue Date<br>MM-DD-YYYY | Name of Patentee<br>or Applicant of Cited Document | Pages, Columns, Lines,<br>Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------|-------------|----------------------------------|---|--|--|
|                 |             | Doc. Number-(Kind Code if Known) |   |  |  |
| A1              | US-         | 2,206,081                        | 07-02-1940                                      | Eberlin  |  |
| A2              | US-         | 2,805,166                        | 09-03-1957                                      | Loffler  |  |
| A3              | US-         | 3,947,281                        | 03-30-1976                                      | Bacon  |  |
| A4              | US-         | 4,530,909                        | 07-23-1985                                      | Makishima et al.                                   |  |
| A5              | US-         | 5,104,319                        | 04-14-1992                                      | Evans et al.                                       |  |
| A6              | US-         | 5,693,239                        | 12-02-1997                                      | Wang et al.  |  |
| A7              | US-         | 5,733,564                        | 03-31-1998                                      | Lehtinen   |  |
| A8              | US-         | 5,804,513                        | 09-08-1998                                      | Sakatani et al                                     |  |
| A9              | US-         | 6,146,244                        | 11-14-2000                                      | Atsugi et al.                                      |  |
| A10             | US-         | 6,245,700 B1                     | 06-12-2001                                      | Budd et al.  |  |
| A11             | US-         | 6,335,083 B1                     | 01-01-2002                                      | Kasai et al.                                       |  |
| A12             | US-         | 6,361,414 B1                     | 03-26-2002                                      | Ravkin et al.                                      |  |
| A13             | US-         | 6,461,988 B2                     | 10-08-2002                                      | Budd et al.  |  |
| A14             | US-         | 6,484,539 B1                     | 11-26-2002                                      | Nordine et al.                                     |  |
| A15             | US-         | 6,511,739 B2                     | 01-28-2003                                      | Kasai et al.                                       |  |
| A16             | US-         | 6,514,892 B1                     | 02-04-2003                                      | Kasai et al.                                       |  |

## Foreign Patent Documents

| Exam.<br>Init.* | Cite<br>No. | Foreign Patent Document |                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | Translation<br>(Check if yes) |
|-----------------|-------------|-------------------------|----------------------------|--------------------------------|--|---|-------------------------------|
|                 |             | Ctry. Code              | Number-KindCode (if known) |                                |  |   |                               |
| B1              |             |                         |                            |                                |  |   |                               |
| B2              |             |                         |                            |                                |  |   |                               |

## OTHER DOCUMENTS

| Exam.<br>Init.* | Cite<br>No. | Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation<br>(Check if yes) |
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Date Considered:

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Patent  
Case No.: 58807US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: ROSENFLANZ, ANATOLY Z.

Application No.: 10/666212 Confirmation No.:

Filed: September 18, 2003

Title: CERAMICS COMPRISING AL<sub>2</sub>O<sub>3</sub>, REO, ZrO<sub>2</sub> AND/OR HfO<sub>2</sub>, AND NB<sub>2</sub>O<sub>5</sub> AND/OR TA<sub>2</sub>O<sub>5</sub> AND METHODS OF MAKING THE SAME

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

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| <input checked="" type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.  |                         |
| Date:   | Signed by:              |
| <i>June 5, 2006</i>   | <i>Jessica L. Nolan</i> |
| Signed by: Jessica L. Nolan   |                         |

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

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Application No.: 10/666212Case No.: 58807US002

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A Request for Continued Examination under 37 CFR § 1.114 is being filed concurrently,  
thus it is believed that no fee is due for this Information Disclosure Statement.

Respectfully submitted,

---

Date

By:

Gregory D. Allen, Reg. No.: 35,048  
Telephone No.: 651-736-0641

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

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| Page 1 of 1  |  | Confirmation Number  |                        |
|  |  | Attorney Case Number | 58807US002             |

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|                       | A1          | US- 3,650,780  | 03-21-1972                                    | Connelly   |   |
|                       | A2          | US- 3,947,281  | 03-30-1976                                    | Bacon  |   |
|                       | A3          | US- 4,014,122  | 03-29-1977                                    | Woods  |   |
|                       | A4          | US- 4,472,511  | 09-18-1984                                    | Mennemann et al.                                   |   |
|                       | A5          | US- 4,812,422  | 03-14-1989                                    | Yuhaku et al.                                      |   |
|                       | A6          | US- 5,045,402  | 09-03-1991                                    | Adams, Jr. et al.                                  |   |
|                       | A7          | US- 5,071,801  | 12-10-1991                                    | Bedard et al.                                      |   |
|                       | A8          | US- 5,122,176  | 06-16-1992                                    | Goettler   |   |
|                       | A9          | US- 5,378,662  | 01-03-1995                                    | Tsuyuki  |   |
|                       | A10         | US- 5,763,345  | 06-09-1998                                    | Ohshima et al.                                     |   |
|                       | A11         | US- 6,128,430  | 10-03-2000                                    | Chu et al.   |   |
|                       | A12         | US- 6,268,303 B1   | 07-31-2001                                    | Aitken et al.                                      |   |
|                       | A13         | US- 6,482,761 B1   | 11-19-2002                                    | Watanabe et al.                                    |   |

| Foreign Patent Documents |             |                         |                            |   |  |   |
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|                          |             | Ctry. Code              | Number-KindCode (if known) |   |  |   |
|                          | B1          | KR                      | 9601009 B1                 | 04-12-1993                                    |  |   |
|                          | B2          |                         |                            |   |  |   |
|                          | B3          |                         |                            |   |  |   |
|                          | B4          |                         |                            |   |  |   |
|                          | B5          |                         |                            |   |  |   |

| OTHER DOCUMENTS |             |  |  |  |                               |
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|                 | C1          |  |  |  |                               |
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